

Request for Proposal (RFP) for Training Needs Assessment

on

Transition of class-room based off-line training to e-Training through Learning Management System (LMS)

for the workforce engaged in Intensified Malaria Elimination Project (IMEP-2)

Tender No.: B/17/01/21-Proc.

Bids shall be summarily rejected if received through email or any online system. Bids shall be accepted only if sent in two sealed envelopes (Technical Bid & Commercial Bid separately)

> TCI Foundation TCI House 69, Institutional Area Sector-32, Gurugram-122001

Website: <u>www.tcifindia.org</u> Email: npmu@tcifindia.org

Section 1 - Introduction and Disclaimers

1.1. Purpose of RFP

The purpose of this Request for Proposal (RFP) is to select agency having extensive experience of Training Needs Assessment in Indian Public Health sector. The selected agency shall be taken on board to conduct Training Needs Assessment on transition of conventional classroom training to contemporary online capacity building of Malaria Elimination workforce in India by a single window interface for facilitating workplace e-Training through Learning Management System (LMS) under the Digital India Initiative. The details of the requirement are given in the subsequent sections of this RFP document.

1.2. Information Provided

This RFP document contains statements derived from information that is believed to be relevant at the date but does not purport to provide all information that may be necessary or desirable to enable an intending contracting party to determine whether to enter a contract or arrangement with TCI Foundation (TCIF). Neither TCIF nor any of its employees, agents, contractors, or advisers gives any representation or warranty, express or implied, as to the accuracy or completeness of any information or statement given or made in this document. Neither TCIF nor any of its employees, agents, contractors, or advisers has carried out or will carry out an independent audit or verification exercise in relation to the contents of any part of the document.

1.3. Disclaimer

Subject to any law to the contrary, and to the maximum extent permitted by law, TCIF and its officers, employees, contractors, agents, and advisers disclaim all liability from any loss or damage (whether foreseeable or not) suffered by any person acting on or refraining from acting because of any information including forecasts, statements, estimates, or projections contained in this RFP document or conduct ancillary to it whether or not the loss or damage arises in connection with any negligence, omission, default, lack of care or misrepresentation on the part of TCIF or any of its officers, employees, contractors, agents, or advisers.

1.4. Costs to be borne by Bidders

All costs and expenses incurred by Bidders in any way associated with the development, preparation, and submission of bid(s), including but not limited to the attendance at meetings, discussions, demonstrations, etc. and providing any additional information required by TCIF, will be borne entirely and exclusively by the Bidder.

1.5. No Legal Relationship

No binding legal relationship will exist between any of the Bidders and TCIF until execution of a contractual agreement.

1.6. Bidder Obligation to Inform Itself

The Bidder must conduct its own investigation and analysis regarding any information contained in this RFP document and the meaning and impact of that information.

1.7. Evaluation of Bids

- 1.7.1. Each Bidder acknowledges and accepts that TCIF may in its absolute discretion apply selection criteria specified in this RFP document for evaluation of bids for short listing/selecting the eligible Bidders. This RFP document will not form part of any contract or arrangement, which may result from the issue of this RFP document or any investigation or review, carried out by a Bidder.
- 1.7.2. TCIF will constitute a Bids Evaluation Committee to evaluate the responses of the Bidders.
- 1.7.3. The Bids Evaluation Committee constituted by the TCIF shall evaluate the responses to this RFP and all supporting documents/documentary evidence. Any Bidder's inability to submit requisite supporting documents/documentary evidence, may lead to rejection.
- 1.7.4. Each of the bids shall be evaluated as per the criteria and requirements specified in this RFP.
- 1.7.5. The decision of the Bids Evaluation Committee in the evaluation of bids to this RFP shall be final. No correspondence will be entertained outside the process of evaluation with the Committee.
- 1.7.6. The Bids Evaluation Committee may ask for meetings with the Bidders to seek clarifications on their proposals.
- 1.7.7. The Bids Evaluation Committee reserves the right to reject any or all bids based on any deviations.
- 1.7.8. All responsive Bids will be considered for further processing as mentioned below. TCIF will prepare a list of responsive Bidders, who comply with all the Terms and Conditions of this RFP. All eligible bids will be considered for further evaluation by Bids Evaluation Committee according to the evaluation process defined in this RFP document. The decision of the Bids Evaluation Committee will be final in this regard.

1.8. Acceptance of Selection Process

Each Bidder having responded to this RFP acknowledges to have read, understood, and accepted the selection & evaluation process mentioned in this RFP document. The Bidder ceases to have any option to object against any of these processes at any stage after submission of its responses to this RFP.

1.9. Errors and Omissions

Each Bidder should notify TCIF of any error, omission, or discrepancy found in this RFP document.

1.10. Acceptance of Terms

A Bidder, by submitting their bid to this RFP, will be deemed to have accepted the terms of this Introduction and Disclaimer.

1.11. Requests for Proposal

Bidders are required to direct all communications related to this RFP on this address:

Dr. Munish Chander Director NPMU (GFATM-PR2) & Head TCI Foundation TCI House, 69 Institutional Area Sector-32, Gurgaon-122007

Email: npmu@tcifindia.org

Telephone: +91 (124) 2381603-07

TCIF may, in its absolute discretion, seek additional information or material from any of the Bidders after this RFP closes and all such information and material provided must be taken to form part of that Bidder's response. Bidders should provide details of their contact person, telephone, fax, email, and full address(s) to ensure that replies to this RFP could be conveyed promptly.

1.12. Notification

TCIF will notify all short-listed Bidders in writing or by mail as soon as practicable about the outcome of this RFP. TCIF is not obliged to provide any reasons for any such acceptance or rejection.

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Section 2

2.1	Bookground & Objective	India has made aignificant advances in addressing malaris in reserve ware
2.1	Background & Objective	India has made significant advances in addressing malaria in recent years. Although the country accounted for 85% of the total malaria incidence in the South-East Asia Region in the World Malaria Report 2018, the most recent report (2019) indicates that there was a reduction of 2.6 million malaria cases in 2018 compared to 2017. Achievements in malaria mortality and morbidity remain fragile (e.g. an increase in cases and deaths in 2014; and in 1976, a massive resurgence of malaria was attributed to inadequate health infrastructure and diminishing monitoring and logistics in many parts of the country). The gains achieved to date need to be sustained, and in line with India's goal of eliminating malaria by 2030.
		The main goal of the Intensified Malaria Elimination Project (IMEP-2) is to eliminate malaria (zero indigenous cases) throughout the entire country by 2030, to maintain malaria free status and prevent re-introduction. The objective of the framework was to eliminate malaria from 15 low endemic states/UTs by 2020, from moderate endemic states by 2022, ultimately eliminate malaria from the country by 2027 and sustain zero transmission till 2030 for proceeding for WHO certification.
		Unlike other countries where there are designated malaria control programs, the National Centre for Vector Borne Diseases Control (NCVBDC) has a broad mandate for all vector borne diseases in India. While this is a strength in terms of enhancement of the integrated approach in prevention of vector borne diseases/infection, this also creates a challenge for translation of the malaria elimination agenda at state and peripheral levels. The trained workforce is critical component of malaria elimination project in India. Pushing towards preelimination and elimination in high burden states requires building on critical human resources at the implementation and service delivery level.
		The objective of the project "Saksham" awarded by the Global Fund (GFATM) to TCI Foundation (Principal Recepient-2) is to ensure effective capacity building of workforce engaged under Intensified Malaria Elimination Program (IMEP-2). It is envisaged to develop a national training portal of NCVBDC to impart workforce e-training to nearly 1.7 million workforce engaged in the malaria elimination project in all states to improve overall implementation of anti-malaria interventions in India.
		Needs assessment is one of the critical components of designing the e-Learning platform and e-contents development on transition of conventional classroom training to contemporary online capacity building of nearly 1.7 million workforce engaged in IMEP-2 in India, through single window interface using Learning Management System (LMS) under the Digital India Initiative.
		As an innovative approach, e-Learning demands a paradigm shift not only from the learners, but also from the instructors, trainers, administrators, technicians, and other support staff. In e-Learning implementation and to create an open, flexible, and distributed learning environment, the e-Learning program developer also need inputs on important issues related to the various dimensions of e-Learning environments.
		This assessment process will also be a kind of "bridge" to address the disparities existing in the language and capacity with respect to the learners' needs. To that end, the e-Learning program developers needs to study thorough, carefully considered, and well-planned needs assessment. This will also help to evaluate, determine, or decide the learners' needs to adopt the e-Learning system.
		The needs assessment will determine if the move to e-learning is in line with strategic framework, implementation plans and pedagogical thinking. It will determine the motivation and engagement prospects of supervisors, trainers

		knowl learni inform modu save only a 2 and releva fully e analy	and trainees and recommendations to make a better understanding and knowledge of e-learning tools and to support them with the transition to e- learning. The evaluator's recommendations based on the analysis of the information collected and discussions will pattern the e-learning contents (e- modules) and learning management system (LMS). The needs assessment can save time and exchequer on Global Fund during development as it will ensure only approaches which suits the intended audience of different cadres of IMEP-2 and funds will be saved on something which is either unsuitable or not relevant. The use of a needs assessment will allow the evaluator to be able to fully engage in all aspects of curriculum design and the need to be able to analyze training needs and requirements before exploring the software solutions, innovation, and development requirements.		
2.2	Implementing Partner of Global Fund	тсі	Foundation		
2.3	Brief description of the required services	Plea	se refer to detailed TORs		
2.4	List of Description of Expected Outputs to be Delivered	frame supe docu analy interv	Training needs assessment is required to be carried out using the evaluation framework, which will allow multiple perspectives of data collection from supervisors and e-training users (trainees and trainers), a review of relevant documentation and a survey of a group of cadres targeted for e-training. The analysis of the collected data, review of existing training documents and interview information will highlight some common themes that can be crucial to design and develop e-contents and learning management system.		
			Deliverables		
			Components		
		1	Capacity Development Strategy: Based on this study, a short- and long- term strategy of capacity development for the workforce engaged in IMEP-2 at central and states shall be developed through a consultative process with all relevant stakeholders. The strategy would encompass every aspect of capacity development in cadres.		
		2	Capacity assessment of existing trainers and master trainers and recommendation of methodology to train them for e-Learning Management System.		
		3	Design and plan identification and assessment of trainers, master trainers for their involvement in the capacity building as National and State Trainers.		
		4	Assessment of Training Infrastructure: It would be necessary to review the existing training infrastructure (existing and potential) to migrate from offline mode to online mode. Based on needs assessment, the e- contents and LMS shall be developed. A set of simulation exercises relevant to conditions of the states and based on state-of-the-art technology shall be explored as part of the training needs assessment.		
		5	Assessment of barriers in the implementation of training viz. acceptability, adaptability, availability, language, time constraints, technology availability, credibility, and capabilities etc.		
		6	Medium of Training: Identification of preferred medium of trainings for trainees and trainers for e-Learning. This will entail following but not limited to: smart content curation Peer led contents/case studies AR & VR techniques Digital library Real time classroom lectures/sessions		

the last day of submission of quotes)extend the validity of the proposal beyond what has been initially indicate this RFP. The Proposer shall then confirm the extension in writing without modification what soever on the proposal.2.18Partial QuotesNot permitted			and I 7 8 <u>Ident</u> Learn 9 <u>Sens</u> appro 5trat also 1 10 the u the o	video, animat video etc. Assessment m ification of preferred mode of tr MS compatibility. This will entail <u>Gadgets com</u> mobile <u>Platform cor</u> windows ification of preferred technolog ning. This will entail Network, Ba itization of different stakeholder opriate strategy for sensitizing tra- egy for Implementation and Qu suggest and develop the strateg sers. In addition, the indicators/	ainees and trainers for e-learning I following: <u>apatibility</u> : computer, laptop, tab, <u>mpatibility</u> : android, iOS, Linux, y of trainees and trainers for e- ndwidth, Wifi, and Bluetooth etc. - The study shall also suggest the	
2.7 Progress requirements reporting requirements Electronic reports` 2.8 Location of Training Needs Assessment S.No. Region State 1 North Region Uttarakhand 2.8 Location of Training Needs Assessment S.No. Region State 1 North Region Uttarakhand 2.8 Expected duration of work South Karnataka 2.9 Expected duration of work February – May 2022 Central 2.10 Target start date 25 February 2022 22.2 2.11 Latest completion date 31 May 2022 2.12 Travels expected Travel as per requirement of TORs 2.13 Implementation schedule indicating breakdown and will be involved in completing the services Required 2.14 Names and curriculum will be involved in completing the services Required 2.15 Currency of proposal Indian Rupees 2.16 Taxes on price proposal Inclusive of GST and all other kinds of taxes applicable in India 2.17 Validity period of proposals (counting for the last day of submission of quotes) 90 days 1 neceptional c		work/performance of the service provider	National C	oordinator (PR-2)		
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2.19 Fayment terms	2.19	Payment Terms				

			Disbursements	Verifiable Criteria	Amount Percentage
		Ι	First Payment	Receipt of draft report on Training Needs Assessment as per deliverables mentioned at 2.4	40% of the contract value.
		Π	Second Payment	Receipt of revised draft report with inclusion of inputs from stakeholders on Training Needs Assessment as per deliverables mentioned at 2.4	30% of the contract value.
		111	Third Payment	Receipt of final & professionally designed approved report on Training Needs Assessment as per deliverables mentioned at 2.4	
		IV	Fourth Payment	Receipt of soft copy (pdf and open-source files) in print format of final & professionally designed approved report on Training Needs Assessment as per deliverables mentioned at 2.4	10% of the contract value.
2.23	Person(s) to review/inspect/approve outputs		ignated officials of N VBDC) & TCI Found	ational Centre for Vector Borne Dise ation.	ases Control
2.24	Person(s) to authorize completed services and disbursement of payment	National Coordinator or higher authority.			
2.25	Type of contract to be signed	Contract for services			
2.26	Criteria for contract award	 Compliance on preliminary examination of proposals. Compliance on essential eligibility/qualification requirements. Highest combined score (based on 70% technical offer and 30% financial offer), minimum score to pass in technical evaluation is 70%. Full acceptance of TCI Foundation General Terms and Conditions (GTC). This is a mandatory criterion and cannot be deleted regardless of the nature of the services required. Non acceptance of the GTC may be grounds for the rejection of the Proposal. 			
2.27	Criteria for preliminary examination of proposals	 Technical proposal is submitted separately from Financial Proposal Financial proposals must be submitted in a separate sealed envelope, if not, the proposal will get rejected. Further, please do not disclose your price anywhere other than in the financial proposal, else proposal will get disqualified. Proposals received other than sealed envelopes shall be summarily rejected. Latest Certificate of Registration of Business submitted Is the Offeror, or any of its joint venture members. Copy of PAN and TAN/TIN. Balance sheet of previous three years. 			
2.28	Criteria for essential eligibility/qualification	 At least five years of experience in working in public health sector. Service provider should have at least 3 years of experience in training needs assessment of public health sector. Service provider should have experience of working with central/state or national/international development agencies in most recent three years. Financials: Annual turnover of minimum one crore Indian rupees (Rs. 1,000,0000). 			

		Notes:
		 If bidders do not meet any of the above-listed criteria, the proposals will not be considered for further evaluation. Bidders meeting the above listed criteria are required to submit evidence (details / documents) in support otherwise the proposal may be disqualified.
2.29	Criteria for the assessment of proposals	TCI Foundation shall adopt Two Bid System - Technical Bid - Financial Bid
		The Bidders whose technical bids are found as qualified shall be short listed for commercial evaluation. TCI Foundation reserves the right to open the commercial bid on a pre-decided date and time in the presence of the representatives of the Bidders, if they (Bidders) desires to present on the dates of bids opening.
		Technical Proposal (70%)
		PART I: Technical Approach and Methodology with Detailed Work Plan: The Bidder agency should submit the contract copies of similar kind of projects completed by them in the last five years with completion certificate issued by the awarding agency. The Bidder must submit documentary evidence in support of facts/claims submitted/made in response to this RFP.
		The Bidder agency must not have been blacklisted/ debarred/ suspended/ banned by government/ corporate/ development agency/organization neither in India nor in any other country.
		 Brief description of the organization/agency's capability experience in similar projects in public health sector (20 marks will be awarded based on section 2.28)
		 Appropriateness of the proposal to the condition with specified plan, proposed methodology, compliance with specification, quality assurance procedures, and timeliness of the implementation plan and risk mitigation plans (25 marks).
		PART II: Management and Staffing Plan: (25 marks) Bidder agency should have availability of key professionals with experience preferably in public health sector. The agency should have personnel having previous documented experience of similar project(s).
		Experience
		 Team leader should have at least 10 years of experience in the public health sector with at least three years in training needs assessment. E-learning expert with at least 5 years of experience. Preference will be given to the expertise in public health sector. Field investigators/Surveyors with three-year experience in needs assessment in public health sector. Data processing expert with at least 5 years of experience in handling large volume of data and its processing, preferentially public health survey/filed investigation data.
		Financial Proposal (30%)
		 To be computed as a ratio of the proposal's offer to the lowest price among the proposals received by TCI Foundation.

2.30	TCI Foundation will award the contract to	One and only one service provider; sub-contract not allowed.			
2.31	Deadline of bid submission				
	300111331011	Date of Issue of RFP	02 February 2022		
		Last date for submission of bid(s)	16 February 2022 (5:30PM)		
		Date of opening of technical bis(s)	18 February 2022		
		Date of opening of commercial bids	21 February 2022		
		Execution of agreement	25 February 2022		
		(note that time zone indicated is India	n Standard Time zone).		
		PLEASE NOTE: -			
			cept any bid after closing date and of the bidder to make sure bids are ne.		
2.34	Contact Person for Inquiries (Written inquiries only)	Namita Mehra Manager Establishment & Procurement Email: <u>npmu@tcifindia.org</u>			
		Any delay in TCI Foundation's response shall be not used as a for extending the deadline for submission, unless TCI Fou determines that such an extension is necessary and communi new deadline to the Proposers.			
2.35	Who can apply	Proposals are invited from the institutions/organizations only. Proposal submitted by Individuals will not be accepted.			
2.36	Amendments, if any		last date of submission of Bids, TCI , may modify this RFP Document, by		
		(ii) The amendment will be post www.tcifindia.org.	ted on official website of TCI Foundation		
		considered by them before not have any responsibility Bidder agency. To allow pro in which to take the amendn	re that such clarifications have been submitting the bid. TCI Foundation will in case some omission is done by any spective Bidder agency reasonable time nent into account in preparing their Bids, retion, may extend the deadline for the		
2.37	Language	The bid prepared by the Bidder agency as well as all correspondence and documents relating to the bid exchanged by the Bidder agency and TCI Foundation shall be written in English.			

Annexure-I

TERMS OF REFERENCE (TORs)

A) Background

India has made significant advances in addressing malaria in recent years. Although the country accounted for 85% of the total malaria incidence in the South-East Asia Region in the World Malaria Report 2018, the most recent report (2019) indicates that there was a reduction of 2.6 million malaria cases in 2018 compared to 2017. Achievements in malaria mortality and morbidity remain fragile (e.g. an increase in cases and deaths in 2014; and in 1976, a massive resurgence of malaria was attributed to inadequate health infrastructure and diminishing monitoring and logistics in many parts of the country). The gains achieved to date need to be sustained, and in line with India's goal of eliminating malaria by 2030.

The main goal of the Intensified Malaria Elimination Project (IMEP-2) is to eliminate malaria (zero indigenous cases) throughout the entire country by 2030, to maintain malaria free status and prevent re-introduction. The objective of the framework was to eliminate malaria from 15 low endemic states/UTs by 2020, from moderate endemic states by 2022, ultimately eliminate malaria from the country by 2027 and sustain zero transmission till 2030 for proceeding for WHO certification.

Unlike other countries where there are designated malaria control programs, the National Centre for Vector Borne Diseases Control (NCVBDC) has a broad mandate for all vector borne diseases in India. While this is a strength in terms of enhancement of the integrated approach in prevention of vector borne diseases/infection, this also creates a challenge for translation of the malaria elimination agenda at state and peripheral levels. The trained workforce is critical component of malaria elimination project in India. Pushing towards pre-elimination and elimination in high burden states requires building on critical human resources at the implementation and service delivery level.

The objective of the project "Saksham" awarded by the Global Fund (GFATM) to TCI Foundation (Principal Recepient-2) is to ensure effective capacity building of workforce engaged under Intensified Malaria Elimination Program (IMEP-2). It is envisaged to develop a national training portal of NCVBDC to impart workforce e-training to nearly 1.7 million workforce engaged in the malaria elimination project in all states to improve overall implementation of anti-malaria interventions in India.

Needs assessment is one of the critical components of designing the e-Learning platform and e-contents development on transition of conventional classroom training to contemporary online capacity building of nearly 1.7 million workforce engaged in IMEP-2 in India, through single window interface using Learning Management System (LMS) under the Digital India Initiative.

As an innovative approach, e-Learning demands a paradigm shift not only from the learners, but also from the instructors, trainers, administrators, technicians, and other support staff. In e-Learning implementation and to create an open, flexible, and distributed learning environment, the e-Learning program developer also need inputs on important issues related to the various dimensions of e-Learning environments.

This assessment process will also be a kind of "bridge" to address the disparities existing in the language and capacity with respect to the learners' needs. To that end, the e-Learning program developers needs to study thorough, carefully considered, and well-planned needs assessment. This will also help to evaluate, determine, or decide the learners' needs to adopt the e-Learning system.

The needs assessment will determine if the move to e-learning is in line with strategic framework, implementation plans and pedagogical thinking. It will determine the motivation and engagement prospects of supervisors, trainers and trainees and recommendations to make a better understanding and knowledge of e-learning tools and to support them with the transition to e-learning. The evaluator's recommendations based on the analysis of the information collected and discussions will pattern the e-learning contents (e-modules) and learning management system (LMS). The needs assessment can save time and exchequer on Global Fund during development as it will ensure only approaches which suits the intended audience of different cadres of IMEP-2 and funds will be saved on something which is either unsuitable or not relevant. The use of a needs assessment will allow the evaluator to be able to fully engage in all aspects of curriculum design and the need to be able to analyze training needs and requirements before exploring the software solutions, innovation, and development requirements.

B) Objective

The key objective of the project is to develop, design and conduct training needs assessment in six regional states on transition of classroom based off-line training to e-Training through Learning Management System (LMS) for the workforce engaged in Intensified Malaria Elimination Project (IMEP-2) across all over India. Following are indicative requirements to conduct training needs assessment.

- 1. Identification of specific environmental issues/concerns in different cadres and demographic regions with respect to acceptability and adaptability towards e-Learning mode.
- Identification of knowledge and skills of workforce to adapt e-learning management system (LMS), including strengths, weaknesses and opportunities and threats, essential to maximize effectiveness of trainings imparted.
- 3. Identification of most appropriate delivery mechanisms for e-Learning.
- 4. Identification of the factors leading to hindrances in updating professional knowledge during emergent local outbreaks.
- 5. Development of strategic framework for the implementation of training.

The indicative activities to commence the training needs assessment are as follows:

- a. Conduct desk research and analyze all available training resources, training conducted previously and their outcome, status of availability of national and state trainers,
- b. Carry out stakeholder consultation.
- c. Based on this exercise; develop comprehensive training needs assessment framework and questionnaire and to get it approved from National Vector Borne Diseases Control Centre (NCVBDC) through TCI Foundation.
- d. Conduct training needs assessment, data management and analysis, draw out the inference.

C) Scope of Work

1. Framework

Training needs assessment is required to be carried out using the evaluation framework, which will allow multiple perspectives of data collection from supervisors and e-training users (trainees and trainers), a review of relevant documentation and a survey of a group of cadres targeted for e-training. The analysis of the collected data, review of existing training documents and interview information will highlight some common themes that can be crucial to design and develop e-contents and learning management system.

2. Regional States

Training needs assessment shall be required to take place in following six states to represent the regions and their demographic needs. The sample size should be statistically significant, distributed across the states mentioned below covering both high prevalence and controlled districts. The study will include randomly selected all cadre workforce engaged in IMEP-2 at sub-centres, PHC, CHC, Sub-District, District, State and National level.

S.No.	Region	State
1.	North Region	Uttarakhand
2.	East Region	Odisha
3.	West Region	Gujrat
4.	South	Karnataka
5.	Central	Chhattisgarh
6.	North-East Region	Tripura

3. Cadres

The training needs assessment will be focused on cadre workforce including private practitioners engaged in malaria elimination project, mentioned below:

- 1. National and State Consultants
- 2. District Malaria Officers and Medical Officers
- 3. District Vector Borne Diseases Consultants/Specialists
- 4. Entomologists/Insect Collectors
- 5. Lab Technicians
- 6. Malaria Technical Supervisors/Health Inspectors/Health Supervisors
- 7. Multipurpose Workers/ANM/ASHA/Anganwadi Workers
- 8. Private Sector Healthcare Staff

4. Work Plan

The consulting agency shall provide detailed methodology, implementation process, questionnaire, data collection methods, data analysis and to infer the conclusion and best possible solutions for acceptability of e-Learning by the users (trainers and trainees).

5. Methodology

The suggested methodology that may be followed but not limited to:

- a) Consult existing studies and previous assessments.
- b) Develop compendium of various guidelines, notifications and operating procedures issued time to time for staff training engaged in malaria prevention & control/elimination program by central and state governments.
- c) Facilitate meetings with stakeholders.
- d) Design questionnaires and tools for surveys and needs assessment.
- e) Data collection process through desk reviews, field data investigations, facility-based interviews, focus group discussions, in-depth interviews, assessment tool for e-learning adaptability, workshop/consultation with others.
- f) Consolidate the findings.
- g) Prepare recommendations pertaining to all functions and stakeholders.
- h) Prepare draft needs assessment report for presentation to TCI Foundation & NCVBDC
- i) Submission of training implementation strategy and final content chapters which need to be covered through the online LMS.
- j) Submission of final needs assessment report to TCI Foundation

6. Selection of Target Respondents/Sampling

The data collectors shall obtain responses of trainees, trainers and supervisors representing all cadres and sectors. Assessment shall include training needs assessment of each category of workforce, district specific, health facility specific assessment and broader training needs assessment. It is expected that the assessment will be undertaken in a highly participatory manner with detailed consultations at state, district, sub district and local levels. The agency will submit a detailed methodology and work plan incorporating above directions as part of their technical proposal submission. Following stakeholders have been identified for inclusion in the needs assessment:

- a) Consultant- National & State level
- b) District Malaria Officer & Medical Officer
- c) District VBD Consultant/Specialist
- d) Entomologist / Insect Collector
- e) Lab Technician
- f) Malaria Technical Supervisor/Malaria Inspector/Health Inspector/Health Supervisor
- g) Multipurpose worker/ANM/ASHA/Anganwadi Worker
- h) Private Practitioners (Doctors and Healthcare staff)

7. Survey & Data Collection

Data shall be collected by contacting responders (trainees, trainers, and supervisors) in accordance with the developed methodology based upon identified number of respondents.

8. Data Analysis

Data analysis shall help in identification of training needs, particularly focusing on objectives of the assessment.

D) Reporting

The report shall outline key findings of the data collected and will include variance assessment and recommendations for consideration in the designing of learning management system (LMS) and e-contents development.

E) Expected Deliverables

	Deliverables					
	Components					
1	Capacity Development Strategy: Based on this study, a short- and long-term strategy of capacity development for the workforce engaged in IMEP-2 at central and states shall be developed through a consultative process with all relevant stakeholders. The strategy would encompass every aspect of capacity development in cadres.					
2	Capacity assessment of existing trainers and master trainers and recommendation of methodology to train them for e-Learning Management System.					
3	Design and plan identification and assessment of trainers, master trainers for their involvement in the capacity building as National and State Trainers.					
4	Assessment of Training Infrastructure: It would be necessary to review the existing training infrastructure (existing and potential) to migrate from offline mode to online mode. Based on needs assessment, the e-contents and LMS shall be developed. A set of simulation exercises relevant to conditions of the states and based on state-of-the-art technology shall be explored as part of the training needs assessment.					
5	Assessment of barriers in the implementation of training viz. acceptability, adaptability, availability, language, time constraints, technology availability, credibility, and capabilities etc.					
6	 Medium of Training: Identification of preferred medium of trainings for trainees and trainers for e-Learning. This will entail following but not limited to: smart content curation Peer led contents/case studies AR & VR techniques Digital library Real time classroom lectures/sessions Real time questioning Natural language processing Personalized learning Measuring learning process and in-depth analytics Cadre wise preferential e-learning contents: text, video, animation, gamification, audio, audio-video etc. Assessment methods 					
7	Identification of preferred mode of trainees and trainers for e-learning and LMS compatibility. This will entail following: • Gadgets compatibility: computer, laptop, tab, mobile • Platform compatibility: android, iOS, Linux, windows					
8	Identification of preferred technology of trainees and trainers for e-Learning. This will entail Network, Bandwidth, Wifi, and Bluetooth etc.					
<u>9</u>	Sensitization of different stakeholder - The study shall also suggest the appropriate strategy for sensitizing trainers and trainees.					
10	Strategy for Implementation and Quality Assurance: The study shall also suggest and develop the strategic framework and training plan for the users. In addition, the indicators/ outcomes necessary for ensuring the quality of the training shall also be specified in the needs assessment.					

F) Duration of the Assignment

February – May 2022

G) Deliverables and Schedule of Payment

	Disbursements	Disbursements Verifiable Criteria	
I	First Payment	Receipt of draft report on Training Needs Assessment as per deliverables mentioned at 2.4	40% of the contract value.
II	Second Payment	Receipt of revised draft report with inclusion of inputs from stakeholders on Training Needs Assessment as per deliverables mentioned at 2.4	30% of the contract value.
III	Third Payment	Receipt of final & professionally designed approved report on Training Needs Assessment as per deliverables mentioned at 2.4	20% of the contract value.
IV	Fourth Payment	Receipt of soft copy (pdf and open-source files) in print format of final & professionally designed approved report on Training Needs Assessment as per deliverables mentioned at 2.4	10% of the contract value.

H) Reporting & Management

Reporting: The selected agency will report to TCI Foundation (PR-2).

Frequency of Reporting: As per deliverables and weekly reporting.

Progress Reporting Requirements: Electronic Reports (should be verifiable through appropriate documentation).

) Proposal Contents

The full proposal should cover the following aspects:

- A. Technical Proposal to include
 - Context (organization introduction, etc.)
 - o Methodology
 - Key Activities with timelines
 - o Deliverables
 - CV of the project team
 - Team leader should have at least 10 years of experience in the public health sector with at least three years in training needs assessment.
 - E-learning expert with at least 5 years of experience. Preference will be given to the expertise in public health sector.
 - Field investigators/Surveyors with three-year experience in needs assessment in public health sector.
 - Data processing expert with at least 5 years of experience in handling large volume of data and its processing, preferentially public health survey/filed investigation data.
 - Short description of the organizations/agency's capability and work, proof of its capacity and experience in the relevant field.
- **B.** Financial proposal to include activity–wise breakup, fee rates, travel cost, etc.

SPECIAL NOTES:

- 1. Agency/ Organization can apply in consortium.
- 2. If applied through consortium, the primary Agency/organization will be held responsible for the completion of the project and will receive payments as per the Contract.

- 3. An agency will have to coordinate with NCVBDC and TCI Foundation.
- 4. The training needs assessment report and any other allied document or studies or data etc. both in print and any other format developed/created under the project by the agency will be submitted to TCI Foundation and will be NCVBDC & TCI Foundation's intellectual property with both NCVBDC and TCI Foundation's logos.
- 5. All the communication and material will include NCVBDC and TCI Foundation approval, logo, and credits.

Annexure II

FORM FOR SUBMITTING SERVICE PROVIDER'S FINANCIAL PROPOSAL

A. Cost breakdown per deliverable:

J) Deliverables and Cost Breakup

De	iverables	Cost
1.	Capacity Development Strategy: Based on this study, a short- and long-term strategy of capacity development for the workforce engaged in IMEP-2 at central and states shall be developed through a consultative process with all relevant stakeholders. The strategy would encompass every aspect of capacity development in cadres.	
2.	Capacity assessment of existing trainers and master trainers and recommendation of methodology to train them for e-Learning Management System.	
3.	Design and plan identification and assessment of trainers, master trainers for their involvement in the capacity building as National and State Trainers.	
	Assessment of Training Infrastructure: It would be necessary to review the existing training infrastructure (existing and potential) to migrate from offline mode to online mode. Based on needs assessment, the e-contents and LMS shall be developed. A set of simulation exercises relevant to conditions of the states and based on state-of-the-art technology shall be explored as part of the training needs assessment.	
5.	Assessment of barriers in the implementation of training viz. acceptability, adaptability, availability, language, time constraints, technology availability, credibility, and capabilities etc.	
6.	 Medium of Training: Identification of preferred medium of trainings for trainees and trainers for e-Learning. This will entail following but not limited to: smart content curation Peer led contents/case studies AR & VR techniques Digital library Real time classroom lectures/sessions Real time questioning Natural language processing Personalized learning Measuring learning process and in-depth analytics Cadre wise preferential e-learning contents: text, video, animation, gamification, audio, audio-video etc. 	
7.	Identification of preferred mode of trainees and trainers for e-learning and LMS compatibility. This will entail following: i. <u>Gadgets compatibility</u> : computer, laptop, tab, mobile ii. <u>Platform compatibility</u> : android, iOS, Linux, windows	
8. 9. 10.	Identification of preferred technology of trainees and trainers for e-Learning. This will entail Network, Bandwidth, Wifi, and Bluetooth etc. Sensitization of different stakeholder - The study shall also suggest the appropriate strategy for sensitizing trainers and trainees. Strategy for Implementation and Quality Assurance: The study shall also suggest and develop the strategic framework and training plan for the users. In addition, the indicators/ outcomes necessary for ensuring the quality of the training shall also be specified in the needs assessment.	

B. Cost Breakdown by Cost Component:

Description of Activity	Remuneration per Unit of Time (in INR)	Total Period of Engagement (in days)	No. of Personnel	Total Amount (INR)
I. Personnel Services				
Team leader should have at least				
10 years of experience in the				
public health sector with at least				
three years in training needs				
assessment.				
E-learning expert with at least 5 years				
of experience. Preference will be				
given to the expertise in public health				
sector.				
Field investigators/Surveyors with				
three-year experience in needs				
assessment in public health sector.				
Data processing expert with at				
least 5 years of experience in				
handling large volume of data and				
its processing, preferentially public				
health survey/filed investigation				
data.				
Other staffs if any				
II. Indirect Cost				
Total				INR

[Name and Signature of the Service Provider's Authorized Person] [Designation] [Date]

Section-3

GENERAL TERMS AND CONDITIONS OF THE CONTRACT

This Contract is between the TCI Foundation a non-government organization who has been taken on board by the Global Fund (GFATM) to work in unison with the National Centre for Vector Borne Diseases (NCVBDC) Ministry of Health & family Welfare for intensified malaria elimination project (IMEP-2) in India, and a company or organization indicated in the Face Sheet of this Contract (hereinafter the "Contractor"), on the other hand.

- 1. LEGAL STATUS OF THE PARTIES: TCI Foundation and the Contractor shall be referred to as a "Party" or, collectively, "Parties" hereunder, and:
 - 1.1. Pursuant, *inter alia*, to its registration under Indian Trust Act, 1882 has full juridical personality and enjoys such privileges as are necessary for the independent fulfilment of its purposes.
 - 1.2. The Contractor shall have the legal status of an independent contractor vis-à-vis TCI Foundation, and nothing contained in or relating to the Contract shall be construed as establishing or creating between the Parties the relationship of employer and employee or of principal and agent. The officials, representatives, employees, or subcontractors of each of the Parties shall not be considered in any respect as being the employees or agents of the other Party, and each Party shall be solely responsible for all claims arising out of or relating to its engagement of such persons or entities.

2. OBLIGATIONS OF THE CONTRACTOR:

- 2.1.The Contractor shall deliver the goods described in the Technical Specifications for Goods (hereinafter the "Goods") and/or perform and complete the services described in the Terms of Reference and Schedule of Payments (hereinafter the "Services"), with due diligence and efficiency, and in accordance with this Contract. The Contractor shall also provide all technical and administrative support needed to ensure the timely and satisfactory delivery of the Goods and/or performance of the Services.
- 2.2.To the extent that the Contract involves any purchase of the Goods, the Contractor shall provide TCI Foundation with written evidence of the delivery of the Goods. Such evidence of delivery shall, at the minimum, consist of an invoice, a certification of conformity, and other supporting shipment documentation as may otherwise be specified in the Technical Specifications for Goods.
- 2.3. The Contractor represents and warrants the accuracy of any information or data provided to TCI Foundation for the purpose of entering this Contract, as well as the quality of the deliverables and reports foreseen under this Contract, in accordance with the highest industry and professional standards.
- 2.4.All time limits contained in this Contract shall be deemed to be of the essence in respect of the performance of the delivery of the Goods and/or the provision of the Services.

3. PRICE AND PAYMENT:

- 4. **FIXED PRICE:** If fixed price is chosen as a payment method pursuant to the face sheet of this Contract, in full consideration for the complete and satisfactory delivery of the Goods and/or provision of the Services, TCI Foundation shall pay the Contractor a fixed amount indicated in the face sheet of this Contract.
 - 4.1. The amount stated in the face sheet of this Contract is not subject to any adjustment or revision because of price or currency fluctuations, or the actual costs incurred by the Contractor in the performance of the Contract.
 - 4.2.TCI Foundation shall effect payments to the Contractor in the amounts and pursuant to the schedule of payments set forth in the Terms of Reference and Schedule of Payments, upon completion by the Contractor of the corresponding deliverable(s) and upon acceptance by TCI Foundation of the original invoices submitted by the Contractor to the TCI Foundation Contact Person indicated in the Face Sheet of this Contract, together with whatever supporting documentation that may be required by TCI Foundation:
 - 4.3. Invoices shall indicate a deliverable completed and the corresponding amount payable.
 - 4.4. Payments effected by TCI Foundation to the Contractor shall be deemed neither to relieve the Contractor of its obligations under this Contract nor as acceptance by TCI Foundation of the Contractor's delivery of the Goods and/or provision of the Services.

5. COSTREIMBURSEMENT:

If cost reimbursement is chosen as a payment method pursuant If cost reimbursement is chosen as a payment method pursuant to the Face Sheet of this Contract, in full consideration for the complete and satisfactory delivery of the Goods and/or provision of the Services under this Contract, TCI Foundation shall pay the Contractor an amount not exceeding the total amount stated in the Face Sheet of this Contract.

- 5.1. The said amount is the maximum total amount of reimbursable costs under this Contract. The breakdown of costs contained in the Financial Proposal, referred to in the Face Sheet to this Contract shall specify the maximum amount per each cost category that is reimbursable under this Contract. The Contractor shall specify in its invoices or financial reports (as required by TCI Foundation) the amount of the actual reimbursable costs incurred in the delivery of the Goods and/or the provision of the Services.
- 5.2. The Contractor shall not provide the Services and/or deliver the Goods or equipment, materials and supplies that may result in any costs in excess of the amount stated in the Face Sheet of this Contract, or of the maximum amount per each cost category specified in the breakdown of costs contained in the Financial Proposal, without the prior written agreement of the TCI Foundation Contact Person.
- 5.3. The Contractor shall submit original invoices or financial reports (as required by TCI Foundation) for the Goods delivered in accordance with the Technical Specifications for Goods and/or the Services provided in accordance with the schedule set forth in the Terms of Reference and Schedule of Payments. Such invoices or financial reports shall indicate a deliverable or deliverables completed and the corresponding amount payable. They shall be submitted to the TCI Foundation Contact Person, together with whatever supporting documentation of the actual costs incurred that is required in the Financial Proposal or may be required by TCI Foundation.
- 5.4. TCI Foundation shall effect payments to the Contractor upon completion by the Contractor of the deliverable(s) indicated in the original invoices or financial reports (as required by TCI Foundation) and upon acceptance of these invoices or financial reports by TCI Foundation. Such payments shall be subject to any specific conditions for reimbursement specified in the breakdown of costs contained in the Financial Proposal.
- 5.5. Payments effected by TCI Foundation to the Contractor shall be deemed neither to relieve the Contractor of its obligations under this Contract nor as acceptance by TCI Foundation of the Contractor's delivery of the Goods and/or performance of the Services.

6. SUBMISSION OF INVOICES AND REPORTS:

- 6.1.All original invoices, financial reports and any other reports and supporting documentation required under this Contract shall be submitted by mail by the Contractor to TCI Foundation Contact Person. Upon request of the Contractor, and subject to approval by TCI Foundation, invoices and financial reports may be submitted to TCI Foundation by email.
- 6.2. All reports and invoices shall be submitted by the Contractor to the TCI Foundation Contact Person specified in the Face Sheet of this Contract.

7. TIME AND MANNER OF PAYMENT:

- 7.1. Invoices shall be paid within thirty (30) days of the date of their acceptance by TCI Foundation. TCI Foundation shall make every effort to accept an original invoice or advise the Contractor of its non-acceptance within a reasonable time from receipt.
- 7.2. Where the Services are to be provided, in addition to an invoice, the Contractor shall submit to TCI Foundation a report, describing in detail the Services provided under the Contract during the period covered in each report.
- 8. **RESPONSIBILITY FOR EMPLOYEES:** To the extent that the Contract involves the provision of the Services to TCI Foundation by the Contractor's officials, employees, agents, servants, subcontractors and other representatives (collectively, the Contractor's "personnel"), the following provisions shall apply:
 - 8.1. The Contractor is responsible for and shall assume all risk and liabilities relating to its personnel and property.
 - 8.2. The Contractor shall be responsible for the professional and technical competence of the personnel it assigns to perform work under the Contract and will select reliable and competent individuals who will be able to effectively perform the obligations under the Contract and who, while doing so, will respect the local laws and customs and conform to a high standard of moral and ethical conduct.
 - 8.3. Such Contractor personnel shall be professionally qualified and, if required to work with officials or

staff of TCI Foundation and/or NCVBDC, shall be able to do so effectively. The qualifications of any personnel whom the Contractor may assign or may propose to assign to perform any obligations under the Contract shall be substantially the same, or better, as the qualifications of any personnel originally proposed by the Contractor.

- 8.4. At the option of and in the sole discretion of TCI Foundation:
 - 8.4.1. the qualifications of personnel proposed by the Contractor (*e.g.,* a curriculum vitae) may be reviewed by TCI Foundation prior to such personnel's performing any obligations under the Contract;
 - 8.4.2. any personnel proposed by the Contractor to perform obligations under the Contract may be interviewed by qualified staff or officials of TCI Foundation and/or NCVBDC prior to such personnel's performing any obligations under the Contract; and,
 - 8.4.3. in cases in which, pursuant to Article 8.4.1 or 8.4.2, above, TCI Foundation has reviewed the qualifications of such Contractor's personnel, TCI Foundation may reasonably refuse to accept any such personnel.
- 8.5Requirements specified in the Contract regarding the number or qualifications of the Contractor's personnel may change during the course of performance of the Contract. Any such change shall be made only following written notice of such proposed change and upon written agreement between the Parties regarding such change, subject to the following:
 - 8.5.1 TCI Foundation may, at any time, request, in writing, the withdrawal or replacement of any of the Contractor's personnel, and such request shall not be unreasonably refused by the Contractor.
 - 8.5.2 Any of the Contractor's personnel assigned to perform obligations under the Contract shall not be withdrawn or replaced without the prior written consent of TCI Foundation, which shall not be unreasonably withheld.
 - 8.5.3 The withdrawal or replacement of the Contractor's personnel shall be carried out as quickly as possible and in a manner that will not adversely affect the performance of obligations under the Contract.
 - 8.5.4 All expenses related to the withdrawal or replacement of the Contractor's personnel shall, in all cases, be borne exclusively by the Contractor.
 - 8.5.5 Any request by TCI Foundation for the withdrawal or replacement of the Contractor's personnel shall not be considered to be a termination, in whole or in part, of the Contract, and TCI Foundation shall not bear any liability in respect of such withdrawn or replaced personnel.
 - 8.5.6 If a request for the withdrawal or replacement of the Contractor's personnel is *not* based upon a default by or failure on the part of the Contractor to perform its obligations in accordance with the Contract, the misconduct of the personnel, or the inability of such personnel to reasonably work together with TCI Foundation and/or NCVBDC officials and staff, then the Contractor shall not be liable by reason of any such request for the withdrawal or replacement of the Contractor's personnel for any delay in the performance by the Contractor of its obligations under the Contract that is substantially the result of such personnel's being withdrawn or replaced.
- 8.6 Nothing in Articles 8.3, 8.4 and 8.5, above, shall be construed to create any obligations on the part of TCI Foundation with respect to the Contractor's personnel assigned to perform work under the Contract, and such personnel shall remain the sole responsibility of the Contractor.
- 8.7 The Contractor shall be responsible for requiring that all personnel assigned by it to perform any obligations under the Contract and who may have access to any premises or other property of TCI Foundation and/or NCVBDC shall:
 - 8.7.1 undergo or comply with security screening requirements made known to the Contractor by TCI Foundation, including but not limited to, a review of any criminal history.
 - 8.7.2 when within TCI Foundation and/or NCVBDC premises or on property, display such identification as may be approved and furnished by TCI Foundation and/or NCVBDC security officials, and that upon the withdrawal or replacement of any such personnel or upon termination or completion of the Contract, such personnel shall immediately return any such identification to TCI Foundation and/or NCVBDC for cancellation.
- 8.8 Within one working day after learning that any of Contractor's personnel who have access to any TCI Foundation and/or NCVBDC premises have been charged by law enforcement authorities with an offense other than a minor traffic offense, the Contractor shall provide written notice to inform TCI Foundation about the particulars of the charges then known and shall continue to inform TCI Foundation concerning all substantial developments regarding the disposition of such charges.
- 8.9 All operations of the Contractor, including without limitation, storage of equipment, materials, supplies and parts, within TCI Foundation and/or NCVBDC premises or on TCI Foundation and/or NCVBDC property shall be confined to areas authorized or approved by TCI Foundation and/or NCVBDC. The

Contractor's personnel shall not enter or pass through and shall not store or dispose of any of its equipment or materials in any areas within TCI Foundation and/or NCVBDC premises or on TCI Foundation and/or NCVBDC property without appropriate authorization from TCI Foundation and/or NCVBDC.

- 8.10The Contractor shall (i) put in place an appropriate security plan and maintain the security plan, taking into account the security situation in the country where the Services are being provided; and (ii) assume all risks and liabilities related to the Contractor's security, and the full implementation of the security plan.
- 8.11TCI Foundation reserves the right to verify whether such a plan is in place, and to suggest modifications to the plan when necessary. Failure to maintain and implement an appropriate security plan as required hereunder shall be deemed a breach of this contract. Notwithstanding the foregoing, the Contractor shall remain solely responsible for the security of its personnel and for TCI Foundation and/or NCVBDC's property in its custody as set forth in paragraph 8.10 above.

9. ASSIGNMENT:

- 9.1.Except as provided in Article 9.2, below, the Contractor may not assign, transfer, pledge or make any other disposition of the Contract, of any part of the Contract, or of any of the rights, claims or obligations under the Contract except with the prior written authorization of TCI Foundation. Any such unauthorized assignment, transfer, pledge or other disposition, or any attempt to do so, shall not be binding on TCI Foundation. Except as permitted with respect to any approved subcontractors, the Contractor shall not delegate any of its obligations under this Contract, except with the prior written consent of TCI Foundation. Any such unauthorized delegation, or attempt to do so, shall not be binding on TCI Foundation. Any such unauthorized delegation, or attempt to do so, shall not be binding on TCI Foundation.
- 9.2. The Contractor may assign or otherwise transfer the Contract to the surviving entity resulting from a reorganization of the Contractor's operations, *provided that:*
 - 9.2.1. such reorganization is not the result of any bankruptcy, receivership, or other similar proceedings; *and*,
 - 9.2.2. such reorganization arises from a sale, merger, or acquisition of all or substantially all the Contractor's assets or ownership interests; *and*,
 - 9.2.3. the Contractor promptly notifies TCI Foundation about such assignment or transfer at the earliest opportunity; *and*,
 - 9.2.4. the assignee or transferee agrees in writing to be bound by all the terms and conditions of the Contract, and such writing is promptly provided to TCI Foundation following the assignment or transfer.
- 10. SUBCONTRACTING: In the event Contractor requires the services of subcontractors to perform any obligations under the Contract, the Contractor shall obtain the prior written approval of TCI Foundation. TCI Foundation shall be entitled, in its sole discretion, to review the qualifications of any subcontractors and to reject any proposed subcontractor that TCI Foundation reasonably considers is not qualified to perform obligations under the Contract. TCI Foundation shall have the right to require any subcontractor's removal from TCI Foundation premises without having to give any justification therefor. Any such rejection or request for removal shall not, in and of itself, entitle the Contractor to claim any delays in the performance, or to assert any excuses for the non- performance, of any of its obligations under the Contractors. The terms of any subcontract shall be subject to and shall be construed in a manner that is fully in accordance with, all of the terms and conditions of the Contract.

11. INDEMNIFICATION:

- 11.1. The Contractor shall indemnify, defend, and hold and save harmless, TCI Foundation, and its officials, agents and employees, from and against all suits, proceedings, claims, demands, losses and liability of any kind or nature brought by any third party against TCI Foundation, including, but not limited to, all litigation costs and expenses, attorney's fees, settlement payments and damages, based on, arising from, or relating to:
 - 11.1.1.allegations or claims that the possession of or use by TCI Foundation of any patented device, any copyrighted material, or any other goods, property or services provided or licensed to TCI Foundation under the terms of the Contract, in whole or in part, separately or in a combination contemplated by the Contractor's published specifications therefor, or otherwise specifically approved by the Contractor, constitutes an infringement of any patent, copyright, trademark, or other intellectual property right of any third party; *or*,
 - 11.1.2.any acts or omissions of the Contractor, or of any subcontractor or anyone directly or indirectly employed by them in the performance of the Contract, which give rise to legal liability to anyone not a party to the Contract, including, without limitation, claims and liability

in a claim for workers' compensation.

- 11.2 In addition to the indemnity obligations set forth in this Article 11, the Contractor shall be obligated, at its sole expense, to defend TCI Foundation and its officials, agents and employees, pursuant to this Article 11, regardless of whether the suits, proceedings, claims and demands in question actually give rise to or otherwise result in any loss or liability.
- 11.3 TCI Foundation shall advise the Contractor about any such suits, proceedings, claims, demands, losses, or liability within a reasonable period of time after having received actual notice thereof. The Contractor shall have sole control of the defence of any such suit, proceeding, claim or demand and of all negotiations in connection with the settlement or compromise thereof, except with respect to the assertion or defence of the privileges and immunities of TCI Foundation or any matter relating thereto, for which only TCI Foundation itself is authorized to assert and maintain.
- 11.4 In the event the use by TCI Foundation of any Goods, property or Services provided or licensed to TCI Foundation by the Contractor, in whole or in part, in any suit or proceeding, is for any reason enjoined, temporarily or permanently, or is found to infringe any patent, copyright, trademark or other intellectual property right, or in the event of a settlement, is enjoined, limited or otherwise interfered with, then the Contractor, at its sole cost and expense, shall, promptly, either:
 - 11.4.1 procure for TCI Foundation the unrestricted right to continue using such Goods or Services provided to TCI Foundation;
 - 11.4.2 replace or modify the Goods and/or or Services provided to TCI Foundation, or part thereof, with the equivalent or better Goods and/or Services, or part thereof, that is non-infringing; *or*,
 - 11.4.3 Refund to TCI Foundation the full price paid by TCI Foundation for the right to have or use such Goods, property or Services, or part thereof.

12. INSURANCE AND LIABILITY

- 12.1. The Contractor shall pay TCI Foundation promptly for all loss, destruction, or damage to the property of TCI Foundation caused by the Contractor's personnel or by any of its subcontractors or anyone else directly or indirectly employed by the Contractor or any of its subcontractors in the performance of the Contract.
- 12.2. Unless otherwise provided in the Contract, prior to commencement of performance of any other obligations under the Contract, and subject to any limits set forth in the Contract, the Contractor shall take out and shall maintain for the entire term of the Contract, for any extension thereof, and for a period following any termination of the Contract reasonably adequate to deal with losses:
 - 12.2.1.insurance against all risks in respect of its property and any equipment used for the performance of the Contract.
 - 12.2.2.workers' compensation insurance, or its equivalent, or employer's liability insurance, or its equivalent, with respect to the Contractor's personnel sufficient to cover all claims for injury, death and disability, or any other benefits required to be paid by law, in connection with the performance of the Contract.
 - 12.2.3. liability insurance in an adequate amount to cover all claims, including, but not limited to, claims for death and bodily injury, products and completed operations liability, loss of or damage to property, and personal and advertising injury, arising from or in connection with the Contractor's performance under the Contract, including, but not limited to, liability arising out of or in connection with the acts or omissions of the Contractor, its personnel, agents, or invitees, or the use, during the performance of the Contract, of any vehicles, boats, airplanes or other transportation vehicles and equipment, whether or not owned by the Contractor; *and*,
 - 12.2.4.Such other insurance as may be agreed upon in writing between TCI Foundation and the Contractor.
- 12.3 The Contractor's liability policies shall also cover subcontractors and all defence costs and shall contain a standard "cross liability" clause.
- 12.4 The Contractor acknowledges and agrees that TCI Foundation accepts no responsibility for providing life, health, accident, travel or any other insurance coverage which may be necessary or desirable in respect of any personnel performing services for the Contractor in connection with the Contract.
- 12.5 The Contractor shall be responsible to fund all amounts within any policy deductible or retention.
- 12.6 The Contractor acknowledges and agrees that neither the requirement for taking out and maintaining insurance as set forth in the Contract nor the amount of any such insurance, including, but not limited to, any deductible or retention relating thereto, shall in any way be construed as

limiting the Contractor's liability arising under or relating to the Contract.

- 13. ENCUMBRANCESANDLIENS: The Contractor shall not cause or permit any lien, attachment or other encumbrance by any person to be placed on file or to remain on file in any public office or on file with TCI Foundation against any monies due to the Contractor or that may become due for any work done or against any goods supplied or materials furnished under the Contract, or by reason of any other claim or demand against the Contractor or TCI Foundation
- 14. EQUIPMENT FURNISHED BY TCI FOUNDATION TO THE CONTRACTOR: Title to any equipment and supplies that may be furnished by TCI Foundation to the Contractor for the performance of any obligations under the Contract shall rest with TCI Foundation, and any such equipment shall be returned to TCI Foundation at the conclusion of the Contract or when no longer needed by the Contractor. Such equipment, when returned to TCI Foundation, shall be in the same condition as when delivered to the Contractor, subject to normal wear and tear, and the Contractor shall be liable to compensate TCI Foundation for the actual costs of any loss of, damage to, or degradation of the equipment that is beyond normal wear and tear.

15. COPYRIGHT, PATENTS AND OTHER PROPRIETARY RIGHTS:

- **15.1.** Except as is otherwise expressly provided in writing in the Contract, TCI Foundation shall be entitled to all intellectual property and other proprietary rights including, but not limited to, patents, copyrights, and trademarks, with regard to products, processes, inventions, ideas, know-how, or documents and other materials which the Contractor has developed for TCI Foundation under the Contract and which bear a direct relation to or are produced or prepared or collected in consequence of, or during the course of, the performance of the Contract. The Contractor acknowledges and agrees that such products, documents, and other materials constitute works made for hire for TCI Foundation.
- **15.2.** To the extent that any such intellectual property or other proprietary rights consist of any intellectual property or other proprietary rights of the Contractor: (i) that pre-existed the performance by the Contractor of its obligations under the Contract, or (ii) that the Contractor may develop or acquire, or may have developed or acquired, independently of the performance of its obligations under the Contract, TCI Foundation does not and shall not claim any ownership interest thereto, and the Contractor grants to TCI Foundation a perpetual license to use such intellectual property or other proprietary right solely for the purposes of and in accordance with the requirements of the Contract.
- **15.3.** At the request of TCI Foundation, the Contractor shall take all necessary steps, execute all necessary documents, and generally assist in securing such proprietary rights and transferring or licensing them to TCI Foundation in compliance with the requirements of the applicable law and of the Contract.
- **15.4.** Subject to the foregoing provisions, all maps, drawings, photographs, mosaics, plans, reports, estimates, recommendations, documents, and all other data compiled by or received by the Contractor under the Contract shall be the property of TCI Foundation, shall be made available for use or inspection by TCI Foundation at reasonable times and in reasonable places, shall be treated as confidential, and shall be delivered only to TCI Foundation authorized officials on completion of work under the Contract.

16. PUBLICITY, AND USE OF THE NAME, EMBLEM OR OFFICIAL SEAL OF TCI FOUNDATION:

The Contractor shall not advertise or otherwise make public for purposes of commercial advantage or goodwill that it has a contractual relationship with TCI Foundation or NCVBDC, nor shall the Contractor, in any manner whatsoever use the name, emblem or official seal of TCI Foundation or NCVBDC, or any abbreviation of the name of TCI Foundation or NCVBDC in connection with its business or otherwise without the written permission of TCI Foundation and/or NCVBDC.

- 17. CONFIDENTIAL NATURE OF DOCUMENTS AND INFORMATION: Information and data that is considered proprietary by either Party or that is delivered or disclosed by one Party ("Discloser") to the other Party ("Recipient") during performance of the Contract, and that is designated as confidential ("Information"), shall be held in confidence by that Party and shall be handled as follows:
 - **17.1.** The Recipient shall:
 - **17.1.1.** use the same care and discretion to avoid disclosure, publication, or dissemination of the Discloser's Information as it uses with its own similar Information that it does not wish to disclose, publish or disseminate; *and*,
 - **17.1.2.** use the Discloser's Information solely for the purpose for which it was disclosed.
 - **17.2.** Provided that the Recipient has a written agreement with the following persons or entities requiring them to treat the Information confidential in accordance with the Contract and this Article 17, the Recipient may disclose Information to:
 - 17.2.1. any other party with the Discloser's prior written consent; and,
 - **17.2.2.** the Recipient's employees, officials, representatives and agents who have a need to know such Information for purposes of performing obligations under the Contract, and employees officials, representatives and agents of any legal entity that it controls, controls it, or with which it is under common control, who have a need to know such Information for purposes of

performing obligations under the Contract.

- **17.3** The Contractor may disclose Informat*ion to the ext*ent required by law, *provided that*, subject to and without any waiver of the privileges of the TCI Foundation, the Contractor will give TCI Foundation sufficient prior notice of *a* request for the disclosure of Information in order to allow TCI Foundation to have a reasonable opportunity to take protective measures or such other action as may be appropriate before any such disclosure is made.
- **17.4** TCI Foundation may disclose Information to the extent as required.
- **17.5** The Recipient shall not be precluded from disclosing Information that is obtained by the Recipient from a third party without restriction, is disclosed by the Discloser to a third party without any obligation of confidentiality, is previously known by the Recipient, or at any time is developed by the Recipient completely independently of any disclosures hereunder.
- **17.6** These obligations and restrictions of confidentiality shall be effective during the term of the Contract, including any extension thereof, and, unless otherwise provided in the Contract, shall remain effective following any termination of the Contract.

18. FORCE MAJEURE; OTHER CHANGES IN CONDITIONS:

- 18.1. In the event of and as soon as possible after the occurrence of any cause constituting *force majeure*, the affected Party shall give notice and full particulars in writing to the other Party, of such occurrence or cause if the affected Party is thereby rendered unable, wholly or in part, to perform its obligations and meet its responsibilities under the Contract. The affected Party shall also notify the other Party of any other changes in condition or the occurrence of any event which interferes or threatens to interfere with its performance of the Contract. Not more than fifteen (15) days following the provision of such notice of *force majeure* or other changes in condition or occurrence, the affected Party shall also submit a statement to the other Party of estimated expenditures that will likely be incurred for the duration of the change in condition or the event of *force majeure*. On receipt of the notice or notices required hereunder, the Party not affected by the occurrence of a cause constituting *force majeure* shall take such action as it reasonably considers to be appropriate or necessary in the circumstances, including the granting to the affected Party of a reasonable extension of time in which to perform any obligations under the Contract.
- 18.2. If the Contractor is rendered unable, wholly or in part, by reason of *force majeure* to perform its obligations and meet its responsibilities under the Contract, TCI Foundation shall have the right to suspend or terminate the Contract on the same terms and conditions as are provided for in Article 20, "Termination," except that the period of notice shall be seven (7) days instead of thirty (30) days. In any case, TCI Foundation shall be entitled to consider the Contractor permanently unable to perform its obligations under the Contract in case the Contractor is unable to perform its obligations, wholly or in part, by reason of *force majeure* for any period more than ninety (90) days.
- 18.3. Force majeure as used herein means any unforeseeable and irresistible act of nature, any act of war (whether declared or not), invasion, revolution, insurrection, terrorism, or any other acts of a similar nature or force, provided that such acts arise from causes beyond the control and without the fault or negligence of the Contractor. The Contractor acknowledges and agrees that, with respect to any obligations under the Contract that the Contractor must perform in areas in which TCI Foundation is engaged in, preparing to engage in, or disengaging from any peacekeeping, humanitarian or similar operations, any delays or failure to perform such obligations arising from or relating to harsh conditions within such areas, or to any incidents of civil unrest occurring in such areas, shall not, in and of itself, constitute force majeure under the Contract.

19. TERMINATION:

- 19.1. Either Party may terminate the Contract for cause, in whole or in part, upon thirty (30) days' notice, in writing, to the other Party. The initiation of conciliation or arbitral proceedings in accordance with Article 22 "Settlement of Disputes," below, shall not be deemed to be a "cause" for or otherwise to be in itself a termination of the Contract.
- 19.2. TCI Foundation may terminate the Contract at any time by providing written notice to the Contractor in any case in which the mandate of TCI Foundation applicable to the performance of the Contract or the funding of TCI Foundation applicable to the Contract is curtailed or terminated, whether in whole or in part. In addition, unless otherwise provided by the Contract, upon sixty (60) day's advance written notice to the Contractor, TCI Foundation may terminate the Contract without having to provide any justification therefor.
- 19.3. In the event of any termination of the Contract, upon receipt of notice of termination that has been issued by TCI Foundation, the Contractor shall, except as may be directed by TCI Foundation in the notice of termination or otherwise in writing:
 - 19.3.1. take immediate steps to bring the performance of any obligations under the Contract to a close in a prompt and orderly manner, and in doing so, reduce expenses to a minimum;
 - 19.3.2. refrain from undertaking any further or additional commitments under the Contract as of and following the date of receipt of such notice;

- 19.3.3. place no further subcontracts or orders for materials, services, or facilities, except as TCI Foundation and the Contractor agree in writing are necessary to complete any portion of the Contract that is not terminated;
- 19.3.4. transfer title and deliver to TCI Foundation the fabricated or unfabricated parts, work in process, completed work, supplies, and other material produced or acquired for the portion of the Contract terminated;
- 19.3.5. deliver all completed or partially completed plans, drawings, information, and other property that, if the Contract had been completed, would be required to be furnished to TCI Foundation thereunder;
- 19.3.6. complete performance of the work not terminated; *and*,
- 19.3.7. take any other action that may be necessary, or that TCI Foundation may direct in writing, for the minimization of losses and for the protection and preservation of any property, whether tangible or intangible, related to the Contract that is in the possession of the Contractor and in which TCI Foundation has or may be reasonably expected to acquire an interest.
- 19.4. In the event of any termination of the Contract, TCI Foundation shall be entitled to obtain reasonable written accountings from the Contractor concerning all obligations performed or pending in accordance with the Contract. In addition, TCI Foundation shall not be liable to pay the Contractor except for those Goods satisfactorily delivered and/or Services satisfactorily provided to TCI Foundation in accordance with the requirements of the Contract, but only if such Goods or Services were ordered, requested or otherwise provided prior to the Contractor's receipt of notice of termination from TCI Foundation or prior to the Contractor's tendering of notice of termination.
- 19.5. TCI Foundation may, without prejudice to any other right or remedy available to it, terminate the Contract forthwith if:
 - 19.5.1. the Contractor is adjudged bankrupt, or is liquidated, or becomes insolvent, or applies for a moratorium or stay on any payment or repayment obligations, or applies to be declared insolvent;
 - 19.5.2. the Contractor is granted a moratorium or a stay, or is declared insolvent;
 - 19.5.3. the Contractor makes an assignment for the benefit of one or more of its creditors;
 - 19.5.4. a Receiver is appointed on account of the insolvency of the Contractor;
 - 19.5.5. the Contractor offers a settlement in lieu of bankruptcy or receivership; or,
 - 19.5.6. TCI Foundation reasonably determines that the Contractor has become subject to a materially adverse change in its financial condition that threatens to substantially affect the ability of the Contractor to perform any of its obligations under the Contract.
 - 19.6 Except as prohibited by law, the Contractor shall be bound to compensate TCI Foundation for all damages and costs, including, but not limited to, all costs incurred by TCI Foundation in any legal or non-legal proceedings, as a result of any of the events specified in Article 19.5, above, and resulting from or relating to a termination of the Contract, even if the Contractor is adjudged bankrupt, or is granted a moratorium or stay or is declared insolvent. The Contractor shall immediately inform TCI Foundation of the occurrence of any of the events specified in Article 19.5, above, and shall provide TCI Foundation with any information pertinent thereto.
 - 19.7 The provisions of this Article 19 are without prejudice to any other rights or remedies of TCI Foundation under the Contract or otherwise.
- 20. NON-WAIVER OF RIGHTS: The failure by either Party to exercise any rights available to it, whether under the Contract or otherwise, shall not be deemed for any purposes to constitute a waiver by the other Party of any such right or any remedy associated therewith, and shall not relieve the Parties of any of their obligations under the Contract.
- 21. NON-EXCLUSIVITY: Unless otherwise specified in the Contract, TCI Foundation shall have no obligation to purchase any minimum quantities of goods or services from the Contractor, and TCI Foundation shall have no limitation on its right to obtain goods or services of the same kind, quality and quantity described in the Contract, from any other source at any time.

22. SETTLEMENT OF DISPUTES:

- 22.1. **AMICABLE SETTLEMENT**: The Parties shall use their best efforts to amicably settle any dispute, controversy, or claim arising out of the Contract or the breach, termination, or invalidity thereof. Where the Parties wish to seek such an amicable settlement through conciliation or according to such other procedure as may be agreed between the Parties in writing.
- 22.2. **ARBITRATION**: Any dispute, controversy, or claim between the Parties arising out of the Contract or the breach, termination, or invalidity thereof, unless settled amicably under Article 22.1, above, within sixty (60) days after receipt by one Party of the other Party's written request for such amicable settlement, shall be referred by either Party to arbitration in accordance with the Arbitration Rules in India.

23. MODIFICATIONS:

- 23.1. No modification or change in this Contract shall be valid and enforceable against TCI Foundation unless executed in writing by the duly authorized representatives of the Parties.
- 23.2. If the Contract shall be extended for additional periods in accordance with the terms and conditions of the Contract, the terms and conditions applicable to any such extended term of the Contract shall be the same terms and conditions as set forth in the Contract, unless the Parties shall have agreed otherwise pursuant to a valid amendment concluded in accordance with Article 23.1, above.
- 23.3. The terms or conditions of any supplemental undertakings, licenses, or other forms of agreement concerning any Goods or Services provided under the Contract shall not be valid and enforceable against TCI Foundation nor in any way shall constitute an agreement by TCI Foundation thereto unless any such undertakings, licenses or other forms are the subject of a valid amendment concluded in accordance with Article 23.1, above.

24. AUDITS AND INVESTIGATIONS:

- 24.1. Each invoice paid by TCI Foundation shall be subject to a post-payment audit by auditors, whether internal or external of TCI Foundation or by other authorized and qualified agents of TCI Foundation/Global Fund at any time during the term of the Contract and for a period of three (3) years following the expiration or prior termination of the Contract.
- 24.2. TCI Foundation/Global Fund may conduct investigations relating to any aspect of the Contract or the award thereof, the obligations performed under the Contract, and the operations of the Contractor generally relating to performance of the Contract at any time during the term of the Contract and for a period of three (3) years following the expiration or prior termination of the Contract.
- 24.3. The Contractor shall provide its full and timely cooperation with any such inspections, post-payment audits or investigations. Such cooperation shall include, but shall not be limited to, the Contractor's obligation to make available its personnel and any relevant documentation for such purposes at reasonable times and on reasonable conditions and to grant to TCI Foundation/Global Fund access to the Contractor's premises at reasonable times and on reasonable conditions in connection with such access to the Contractor's personnel and relevant documentation. The Contractor shall require its agents, including, but not limited to, the Contractor's attorneys, accountants, or other advisers, to reasonably cooperate with any inspections, post-payment audits or investigations carried out by TCI Foundation/Global Fund hereunder.
- 24.4. TCI Foundation shall be entitled to a refund from the Contractor for any amounts shown by such audits or investigations to have been paid by TCI Foundation other than in accordance with the terms and conditions of the Contract. The Contractor also agrees that, where applicable, donors to TCI Foundation whose funding is the source of, in whole or in part, the funding for the procurement of Goods and/or Services which are the subject of this Contract, shall have direct recourse to the Contractor for the recovery of any funds determined by TCI Foundation to have been used in violation of or inconsistent with this Contract.

25. LIMITATION ON ACTIONS:

- 25.1. Except with respect to any indemnification obligations in Article 11, above, or as are otherwise set forth in the Contract, any arbitral proceedings in accordance with Article 22.2, above, arising out of the Contract must be commenced within three years after the cause of action has accrued.
- 25.2. The Parties further acknowledge and agree that, for these purposes, a cause of action shall accrue when the breach actually occurs, or, in the case of latent defects, when the injured Party knew or should have known all of the essential elements of the cause of action, or in the case of a breach of warranty, when tender of delivery is made, except that, if a warranty extends to future performance of the goods or any process or system and the discovery of the breach consequently must await the time when such goods or other process or system is ready to perform in accordance with the requirements of the Contract, the cause of action accrues when such time of future performance actually begins.
- 26. ESSENTIAL TERMS: The Contractor acknowledges and agrees that each of the provisions in Articles 27 to 32 hereof constitutes an essential term of the Contract and that any breach of any of these provisions shall entitle TCI Foundation to terminate the Contract or any other contract with TCI Foundation immediately upon notice to the Contractor, without any liability for termination charges or any other liability of any kind. In addition, nothing herein shall limit the right of TCI Foundation to refer any alleged breach of the said essential terms to the relevant national authorities for appropriate legal action.
- 27. SOURCE OF INSTRUCTIONS: The Contractor shall neither seek nor accept instructions from any authority external to TCI Foundation in connection with the performance of its obligations under the Contract. Should any authority external to TCI Foundation seek to impose any instructions concerning or restrictions on the Contractor's performance under the Contract, the Contractor shall promptly notify TCI Foundation and provide all reasonable assistance required by TCI Foundation. The Contractor shall not take any action in respect of the performance of its obligations under the Contract that may adversely affect the interests of TCI Foundation, and the Contractor shall perform its obligations under the Contract with the fullest regard to the interests of TCI Foundation.
- 28. STANDARDS OF CONDUCT: The Contractor warrants that it has not and shall not offer any direct or indirect benefit

arising from or related to the performance of the Contract, or the award thereof, to any representative, official, employee or other agent or consultants of TCI Foundation. The Contractor shall comply with all laws, ordinances, rules, and regulations bearing upon the performance of its obligations under the Contract.

- 29. OBSERVANCE OF THE LAW: The Contractor shall comply with all laws, ordinances, rules, and regulations bearing upon the performance of its obligations under the Contract. In addition, the Contractor shall maintain compliance with all obligations relating to its registration as a qualified vendor of goods or services to TCI Foundation.
- **30. CHILD LABOR**: The Contractor represents and warrants that neither it, its parent entities (if any), nor any of the Contractor's subsidiary or affiliated entities (if any) is engaged in any practice inconsistent with the rights set forth in the Convention on the Rights of the Child, including Article 29 thereof, which, *inter alia*, requires that a child shall be protected from performing any work that is likely to be hazardous or to interfere with the child's education, or to be harmful to the child's health or physical, mental, spiritual, moral, or social development.
- **31. SEXUAL EXPLOITATION:** In the performance of the Contract, the Contractor shall not engage in any conduct that would constitute sexual exploitation or sexual abuse, as defined in Indian law. The Contractor shall take all appropriate measures to prevent sexual exploitation or abuse of anyone by its employees or any other persons engaged and controlled by the Contractor to perform any services under the Contract. In addition, the Contractor shall refrain from, and shall take all reasonable and appropriate measures to prohibit its employees or other persons engaged and controlled by it from exchanging any money, goods, services, or other things of value, for sexual favors or activities, or from engaging any sexual activities that are exploitive or degrading to any person.
- 32. ANTI-TERRORISM: The Contractor agrees to undertake all reasonable efforts to ensure that none of the TCI Foundation funds received under the Contract is used to provide support to individuals or entities associated with terrorism. This provision must be included in all sub-contracts or sub-agreements entered into under the Contract.